



**KNOW YOUR CLIENT FORM (Equity & Debt)**

CSD No. .... Acct No. ....

**NTHC LTD**

**Depository Participant**

Name :  Number :

**Personal Information**

Surname

Other Names

Date of birth

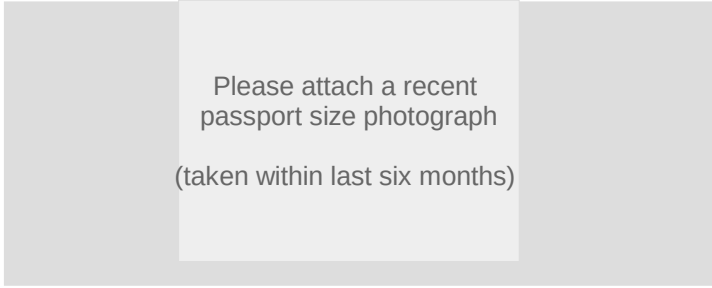
Marital Status  Single  Married  
 Divorced  Widowed

ID Type  Voter's ID  Driver's license  
 Passport  NHIS  National ID

ID Number

Occupation

Name of Spouse



**Next of Kin**

Name

Address

Tel. No.

Relationship to applicant

Employer's Name

Employer's Address / Business Address

Employer's Tel.

Source Of Funds

**Contact Information**

Tel. / Mobile No.

Email

Postal Address

Permanent / Residential Address

**Citizenship**

Ghanaian  Non-Ghanaian

**Bank Details**

Account Name

Account No.

Bank Name

Bank Branch

**Nature or Type of Investment**

Shares  Treasury Bills

**Residential Status**

Resident  Non-resident

**Assessment of Client Risk**

Low Risk  Medium Risk  High Risk

**Knowledge of Investments**

Excellent  Good  Fair

**Type Of Account**

Discretionary  Non-Discretionary

Applicant Signature

Date

**PEP (POLITICALLY EXPOSED PERSONS) DETAILS**

A politically exposed person (PEP) is defined by the Financial Action Task Force (FATF) as an individual who is or has been entrusted with a prominent public function.

Do you, or an immediate family member, or known close associate fall under this category?

YES

NO

If yes kindly provide details below:

Name: .....

Address:.....

Telephone Number: .....

Type of Appointment: .....

Date of Appointment:.....

**For Official use only**

CSD Account No.

Date

Name

Signature